

AISE

REAL-WORLD ACCELERATOR TO IMPROVE THE STANDARD OF COLLECTION
AND CURATION OF RACE AND ETHNICITY DATA IN HEALTHCARE

The public meeting will begin shortly

This project is supported by the Food and Drug Administration (FDA) Office of Minority Health and Health Equity of the U.S. Department of Health and Human Services (HHS) as part of a financial assistance award (FAIN) totaling \$499,514 (100% funded by FDA OMHHE/HHS). The contents are those of the author(s) and do not necessarily represent the official views of, nor an endorsement, by FDA/HHS, or the U.S. Government.





Welcome!

Susan C. Winckler, RPh, Esq. CEO

Reagan-Udall Foundation for the FDA





Thank you for joining us today!



Your microphone and video will remain off during the workshop.



This workshop is being recorded. The recording, slides and summary will be available on the FDA Foundation website.

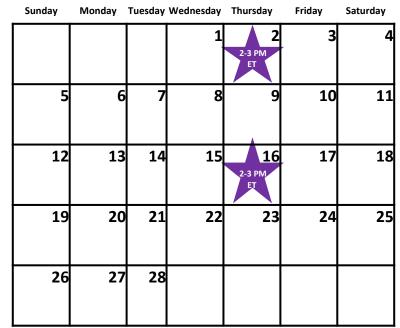


If you'd like to ask a question, please enter it in the Zoom Q&A. We will get to as many questions as time allows.



Speakers will not address questions regarding any pending regulatory action nor discuss specific companies or medical products by name.

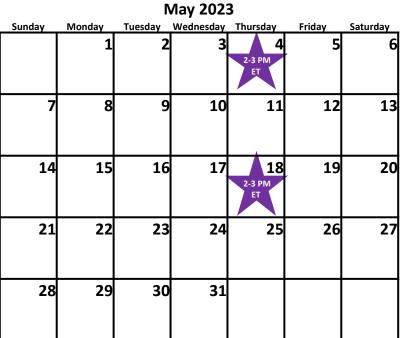
Thank you for joining us for the RAISE Workshop series!



February 2023

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	April 2023					
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Adjourn

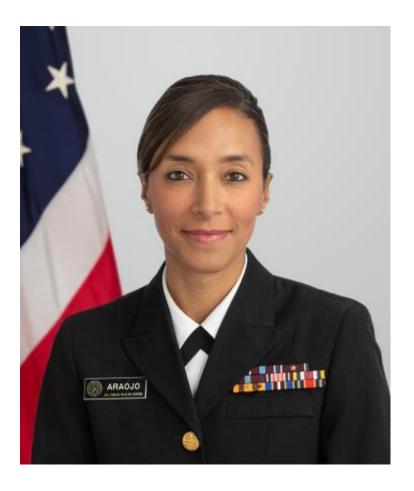
4:00 PM

2:00 PM	Welcome and Introduction
2:05 PM	Barrier-Facilitator-Solution Triads: A Roadmap to Address Missing/Misclassified Race & Ethnicity Data in Health Care
2:30 PM	Panel 1: Considerations for Roadmap Implementation
3:05 PM	Panel 2: Potential Impact of Roadmap to Advance Community-Driven Data Governance and Inclusivity in Medical Product Development & Safety
3:40 PM	Closing Remarks: Takeaways & A Call to Action

REAGAN-UDALL

FOUNDATION for the Food and Drug Administration





Opening Remarks

RDML Richardae Araojo, PharmD, MS Associate Commissioner for Minority Health Director, Office of Minority Health and Health Equity U.S. Food and Drug Administration







Barrier-Facilitator-Solution Triads:
A Roadmap to Address Missing/Misclassified
Race & Ethnicity Data in Health Care

Alecia Clary, PhD, MSW
Founder and President, Evidence to Practice
Co-Investigator, RAISE





The RAISE Roadmap

A Roadmap towards the Complete and Accurate Collection of Race and Ethnicity

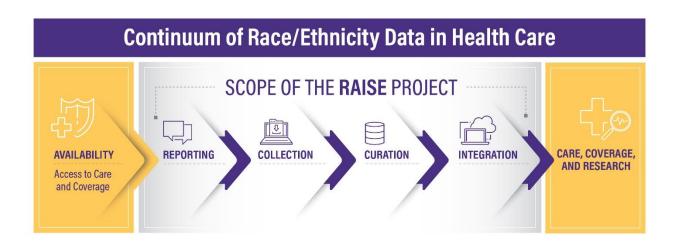
Data

Alecia Clary, PhD, MSW Evidence to Practice June 15, 2023



Race and Ethnicity Data are Incomplete and Inconsistently Collected in Clinical Datasets

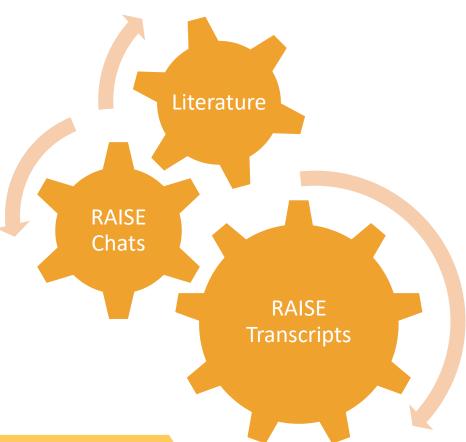
This poses a significant limitation to our ability to understand the distribution, safety, and effectiveness of FDA-regulated products in the population.







Methods



- Data collected from RAISE (transcripts and chats) and the literature
- Inductive approach to coding RAISE materials
- Barriers identified via template analysis
- Summarized themes, organizing them in a logical manner
- Solutions and facilitators similarly identified, via coded transcripts and the literature
- The RAISE community identified a specific set of actionable facilitators
- The RAISE community will help quantify the costs and impact of acting on each facilitator





Barrier

Obstacle that prevents collection and management of race and ethnicity data.

(Potential) Facilitators

Circumstances that allow for material means to aid in overcoming obstacles preventing the collection and management of race and ethnicity data.

(Potential) Solutions

Material means that aid in overcoming obstacles preventing the collection and management of race and ethnicity data





Standardize Data Collection

Incentivize
Data
Collection

The Roadmap

Specific steps towards more complete and accurate collection and management of race and ethnicity data

Collect Data Locally then Aggregate

Conduct
Workforce
Training and
Provide Data
Collection Tools
and Resources



Misaligned funding/incentives

Solution: Update existing payment models and measures to incentivize the collection of these data

Potential Facilitator

Incentivize improving health equity

- Make improving health equity a goal
- Define the purpose of updating the payment model or measure
- Convene workgroups to understand the data and opportunities to update or create new payment models/measures
- Update or develop new payment models/measures
- Monitor use of new payment models/measures, identifying opportunities to improve reporting



Lack of transparency regarding intended use of the data

Solution: Inform patients/members and staff about why these data are being requested and how these data may be used

Potential Facilitators

- Use a multi-stakeholder approach to understanding how race and ethnicity data may be used
- Develop resources that describe why patients/members are being asked to provide these data, how the data may be used, the benefits of sharing these data, and who may access these data, incorporating this information into data collection tools and resources

- Acknowledge the risk of bias or harm in reporting these data
- Define "race" to facilitate shared understanding
- Understanding WHY we are collecting the data
- Establish workgroups to develop messaging
- Pilot the messages in the community, collect feedback, and update



Tensions with data options -- simultaneously too few and too many.

Solution:
Data collection options should align with the local context, but be aggregated, per data standards

Potential Facilitators

- Leverage a multi-stakeholder approach to developing response options such that they are appropriate for the local community
- Modernize data standards such that more granular categories can be systematically collected and aggregated

- Collect data about options that are currently used and preferred options
- Engage a workgroup to develop a menu of options
- Develop a tool to help organizations systematically prioritize options based on their community. Options should be:
 - Respectful of people
 - Reflective of the local community
 - Complementary (not redundant)
- Update and pilot options in the community, testing approaches to aggregation



Insufficient workforce training

Solution:
Develop workforce
training and education

Potential Facilitators

- Develop cross-organizational approaches to collecting these data towards establishing a single source of truth, support staff, and leverage best practices to standardize the process
- Develop workshops, trainings, and other materials that can be used to educate staff about the importance of these data, why they are collected, and how they should be collected

- Collect data about existing training and identify training needs
- Understand the barriers and facilitators to the consistent collection of these data
- Understand whether the approach collection of these data be made similar to the collection of clinical data
- Understand where data collection might best fit into existing workflows
- Engage a workgroup to develop learning objectives and design a training curriculum
 - Tailor training to different roles
 - Offer certifications and CEUs
- Evaluate training effectiveness
- Update trainings to ensure they remain relevant



Resource Constraints

Solution:
Optimize language
appropriate data
collection tools,
scripts, decision aids,
and other tools

Tools should inform patients/members and staff about why patients/members are being asked to provide these data and how the data might be used

Potential Facilitators

- Assess community needs for language services (including the languages that are needed)
- Use language services to support staff in the collection of these data
- Develop tools that can be used to standardize and routinize the process and the message

- Collect data regarding existing tools
- Engage a workgroup to adapt or develop tools that incorporate intended use of the data, response options, and the workflow
 - Translate into diverse languages
- Test and validate tools
- Train data collectors and users
- Monitor use of the tools

Lack of standardization regarding the collection and reporting of these data - Insufficient systems for the collection and storage of these data

Align information,
techniques, and strategies
to bridge technical stress
points
Build interoperable data
systems with adequate
granularity

Potential Facilitator

 Create a forum for technical experts to exchange information, develop standards & best practices, and troubleshoot issues related to interoperability of race and ethnicity data, including the potential expansion of existing frameworks (e.g., Fast Healthcare Interoperability Resources and U.S. Core Data for Interoperability)

- Identify technical stress points of current systems
- Establish a clear goal of wanting to improve the collection and management of race and ethnicity data
- Understand existing data standards' and protocols' strengths and limitations
- Refine existing data standards
- Develop mechanisms to map:
 - Between different standards
 - Between previous standards and new/updated standards
- Monitor and evaluate progress





Each of the proposed next steps suggests a need to

- Fund these efforts
- Understand why these data are being collected and how they might be used
- Identify and engage the relevant stakeholders
- Align on specific objectives for workgroups and convenings
- Identify a mechanism to disseminate and increase uptake and adoption of new tools and resources



This work begins with a **framework of trust** that guarantees that there aren't
unintended consequences, harms, or bias

- Patients and members should be a key stakeholder for each convening
- Data collection is <u>local</u>
- Take the problem to the community who can be a part of the solution
- Disseminate the results to the community

Enable the community to feel seen, be heard, and be counted. This will generate enthusiasm within the community and lead to increased and deeper engagement. Use data that can be shared back with the community so that the community experiences a return of value.



AND CURATION OF RACE AND ETHNICITY DATA IN HEALTHCARE

Panel Discussion





Panel 1: Considerations for Roadmap Implementation



Moderator

Carla Rodriguez-Watson, PhD, MPH **RAISE Principal Investigator** Director of Research Reagan-Udall Foundation for the FDA



Discussant **Health Care Delivery**

Allen Hsiao MD, FAAP, FAMIA Chief Health Information Officer, Yale School of Medicine & Yale New Haven Health



Discussant **Community Participatory Research**

Rachele Hendricks-Sturrup, DHSc, MSc, MA Research Director of Real-World Evidence (RWE), Duke-Margolis Center for Health Policy



Discussant Payor/Health Info Exchange

Lenel James, MBA Business Lead (Health Information Exchange & Innovation) Blue Cross Blue Shield Association



All in National Survey, 2021

*Data Across
Sectors for Health,
All In: Data for
Community
Health, National
Alliance against
Disparities in
Patient Health

A SEAT AT THE TABLE

People with Lived Experiences of Inequity in Data Sharing Projects

Findings from the 2021 All In National Inventory

Higher involvement of PWLEI in data sharing collaborations is correlated with data practices that advance health equity. There is growing evidence about the benefits of including people with lived experiences of inequity (PWLEI) in public health decision-making and affording PWLEI positions of authority to shape expectations, decisions, and outcomes.

PWLEI often know best what needs to happen in the community to improve health and wellbeing. Indeed, higher involvement of PWLEI in data sharing collaborations is correlated with data practices that better contribute to the advancement of health equity.

It is essential that data projects include PWLEI in meaningful ways in the data sharing cycle.



Positive effects of PWLEI inclusion on data practices

COLLABORATIONS WITH HIGH LEVELS OF PWLEI INCLUSION

Assess Risks of Sharing

Sample size: 60 projects



assessed how the risk of data sharing could vary by identity group

Include Community Level Data

Sample size: 65 projects



incorporated community level data into their analyses

Address Data Biases

Sample size: 61 projects



addressed potential biases within the data collected

OMPARED TO COLLABORATIONS WITH LITTLE TO NO PWLEI INCLUSION

35%



34%

Potential benefits of PWLEI engagement

-

Equity

Draw focus on historically underfunded areas

Identify and address biases and harms of existing data systems and processes

Identify gaps that prevent addressing structural racism and inequities

Sustainability

Provide feedback to help minimize harm in future data collection

Promote further PWLEI inclusion and minimal barriers to participation

Address power relations and create environment that fosters trust



Analysis

Discover new ways to interpret data

Provide qualitative stories to contextualize quantitative data

Improve the understanding of community beliefs and practices among partners



Systems Change

Identify needs unique to each community

Facilitate and expedite the development of locally relevant initiatives

Report data in actionable form to improve lives of those represented in data



Panel 2: Using the Roadmap to Elevate Race & Ethnicity Data in Health Care and Advance Community-Driven Data Governance and Inclusivity in Medical Product Development



Moderator
Susan C. Winckler, RPh, Esq.
CEO
Reagan-Udall Foundation for the
FDA



Louis Cabanilla, MSc Director of Clinical Analytics Point32Health

Payor/Population Health



Bradley Malin, PhD Accenture Professor, Vanderbilt University

Data Privacy/Security



Discussant
Community Engagement/Bio
ethics

Krystal Tsosie, PhD, MPH, MA
Indigenous Geneticist-Bioethicist
Assistant Professor
Arizona State University



"In 2018, Indian Health Services spent an average of \$3,779 per patient.

The national spending per capita that same year was \$9,409"

National Indian Health Board

"It is not profit-generative" to use Indigenous peoples' DNA to create therapeutics that specifically impact Indigenous peoples.

Pharmaceutical Companies to Indigenous Scientists

Simply recruiting more Indigenous peoples into datasets is not going to solve the health equity problem. We need to think more structurally about the power dynamics of the disciplines in which we inhabit.





Closing Remarks: Takeaways & A Call to Action

Carla Rodriguez-Watson, PhD, MPH
Director of Research, Reagan-Udall
Foundation for the FDA
Principal Investigator, RAISE



Continuum of Race/Ethnicity Data in Health Care



AVAILABILITY

Access to Care and Coverage

SCOPE OF THE RAISE PROJECT











Real-World Data

Improving Data on Race and Ethnicity: A Roadmap to Measure and Advance Health Equity







Charting a
Course for an
Equity-Centered
Data System:

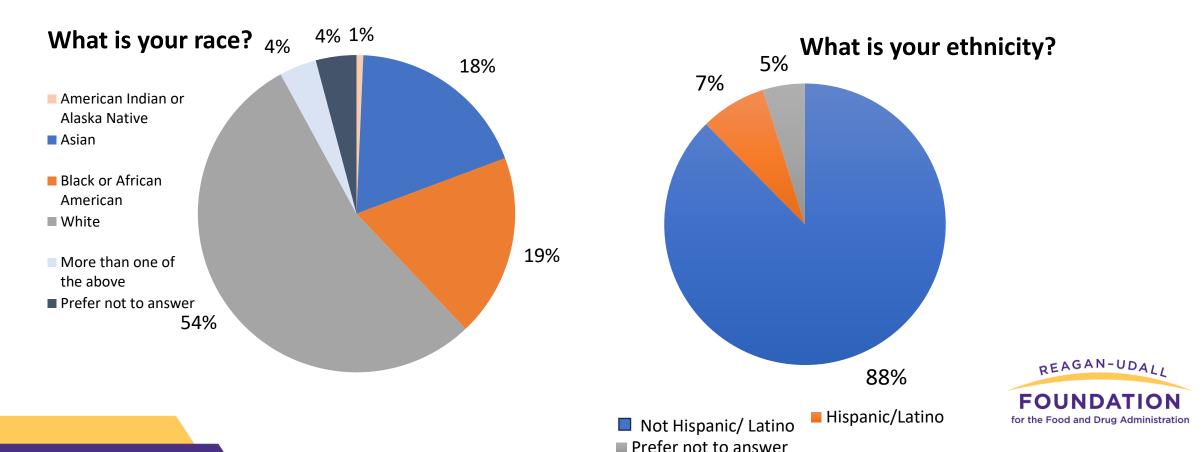
Recommendations from the
National Commission to Transform
Public Health Data Systems





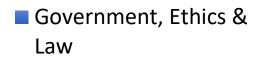
RAISE Meeting Statistics

549 unique persons registered for the series - plus additional 200+ registered today

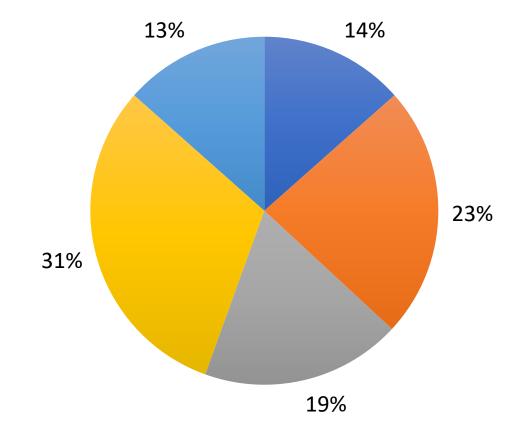




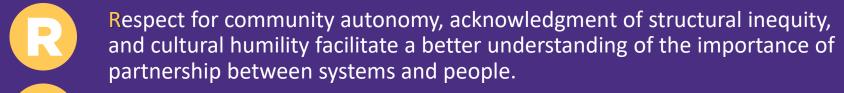
Which of these groups describes your career sector best?



- Healthcare
- Life Sciences & Technology
- Research
- Prefer not to answer







Intentionality and transparency in collecting race and ethnicity data can help address mistrust, misinterpretation, misclassification, and missingness.

Standards that are safe, contextually relevant, and interoperable should be implemented.

Education is needed to increase awareness of incentives and resources for infrastructure, technical resources, and best practices.

Understanding and alignment to address the dissonance between:

- why R&E are collected, how R&E questions are answered, and how R&E data are used;
- how communities vs. health systems define health equity

Payors can collect race & ethnicity data too.











Respect for community autonomy, acknowledgment of structural inequity, and cultural humility to facilitate a better understanding of the importance of partnership between systems and people.



Barriers to data collection

	Common Challenges	Solutions	Impacts
(A)	Limited or no capabilities to conduct outreach	Personalized, multi- touchpoint outreach	Reach members at scale using their preferred method. Learn about member preferences and build upon that using behavioral economics and modalities proven effective.
	Struggle to reach all non- English-speaking members	Multicultural Community Health Guides	Dedicated team of experts from the same cultures and backgrounds as the members. Helps build trust, elevates the member experience, and increases satisfaction.
	Lack of resources to build trust and get responses	Engage members in a personalized journey that empowers	Utilize a multimodality approach that builds trust, gives the time and space for members to feel comfortable reporting, and visit the data over time.
	Lack of knowledge around the person's perspectives	Personalized engagement that meets the member where they are	Learn from interactions and continue to update your data collection strategy, therefore driving better data collection and a refined strategy.
(())	Inability to store the data or act upon the information	Invest in the data storage or work with partners	Data can be stored in a meaningful way, and over time, you are able to better understand the members and act upon it in a culturally appropriate way.



	00.489099	
•	Middle	Eastern or North African (For example: Algerian, Egyptian, Iranian, Lebanese,
	Moroc	can, Syrian, etc.)
	Branch	ning Logic: when "Middle Eastern or North African" selected, then select:
		Afghan
	0	Algerian
		Egyptian
	0	Iranian
	0	Iraqi
		Israeli
		Lebanese
		Moroccan
		Syrian
	0	Tunisian
		None of these fully describe me
		Branching Logic: when "None of these fully describe me" selected, then:
		Please specify. ²
		(display optional free text)

This effort had an impact beyond simply securing a new category in a federal survey. It resulted in a community feeling seen & included

- Ronnie Tepp, Pyxis | RAISE Workshop 5



The 2020 Census is live, starting

March 20th

For infor

The time is NOW!

Africa (MENA) region:

path for the future

How World RePORT can identify

research disparities and pave the

CLICK HERE TO LEARN MORE CLICK HERE >

For information on conducting research with ACCESS

CLICK HERE. »





Intentionality and transparency in collecting race and ethnicity data can help address mistrust, misinterpretation, misclassification, and missingness.



American Journal of Epidemiology
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Vol. 192, No. 3 https://doi.org/10.1093/aje/kwac146 Advance Access publication: August 8, 2022

Systematic Reviews and Meta- and Pooled Analyses

Conceptualization, Operationalization, and Utilization of Race and Ethnicity in Major Epidemiology Journals, 1995–2018: A Systematic Review

1% of studies collecting R & E data specifically defined terms

Rae Anne M. Martinez*, Nafeesa Andrabi, Andrea N. Goodwin, Rachel E. Wilbur, Natalie R. Smith, and Paul N. Zivich

"the more they study me, the more they know how to get rid of me"

- Consumer Listening Session, Vaccine
Confidence Project, Reagan-Udall Foundation
for the FDA

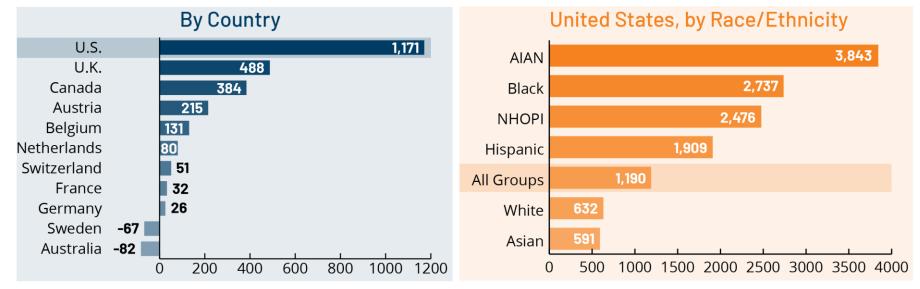
ABOUT SUPPORT AWARDS CONFERENCE BLOG TOOLS FOR SUCCESS MY ACCOUNT JOIN Login



Beyond the Boxes, Part 1: Guiding Questions for Thoughtfully Measuring and Interpreting
Race in Population Health Research

Higher Excess Deaths During Pandemic in the U.S. Were Partly Driven by Racial Disparities

Excess Potential Years of Life Lost in 2020, Ages 0-74, per 100,000 People



NOTE: *Left side:* Excess potential years of life lost rates are per 100,000 people within age group in each country. Excess potential years of life lost were calculated up to age limit of 75. Excess deaths were summed within each age group for 2020 MMWR weeks 1-52. *Right side:* Excess potential years of life lost rates are per 100,000 people within age group in each race/ethnicity category, and were calculated up to age limit 75. Excess deaths in 2020 MMWR weeks 1-52 were used. Persons of Hispanic origin may be of any race but are categorized as Hispanic; other groups are non-Hispanic. SOURCE: *Left side:* KFF analysis of the Human Mortality Database. *Right side:* KFF analysis of CDC data.



Example 2 of Limitations: eGFR

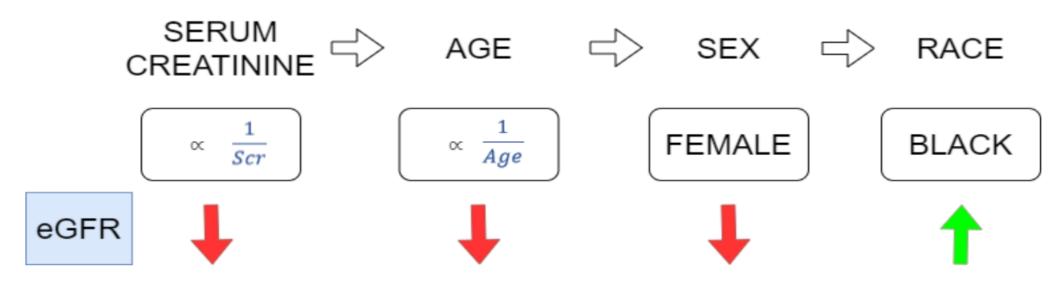
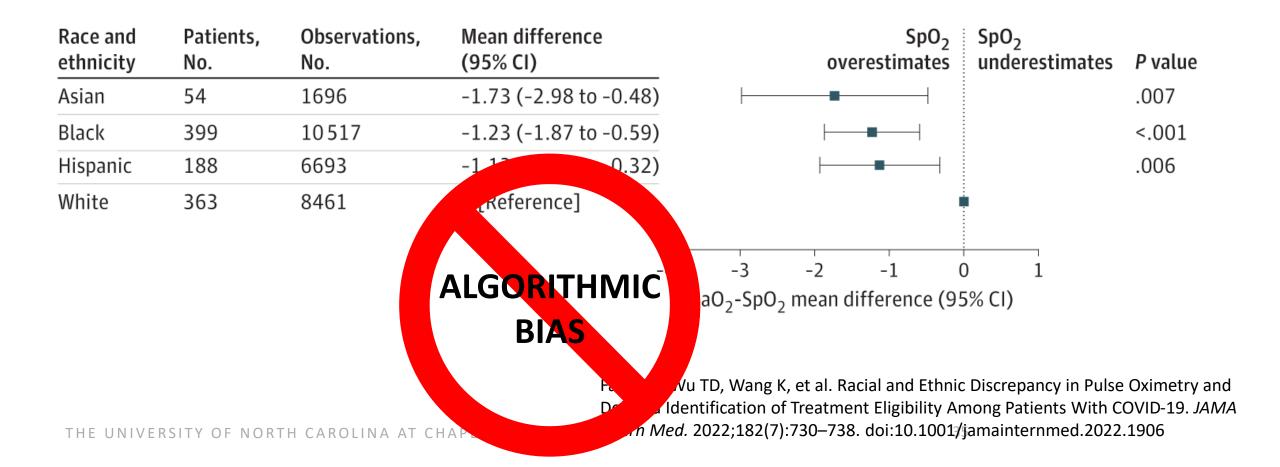


Figure 1. Variables in eGFR Calculation: The figure illustrates factors that influence eGFR calculations. Increasing serum/plasma creatinine, increasing age, and female sex decrease eGFR, while African American race increases eGFR calculations.

https://health.ucdavis.edu/blog/lab-best-practice/race-and-egfr-addressing-health-disparities-in-chronic-kidney-disease/2021/04



Pulse oximeters overestimate blood O_2 in non-white patients with COVID-19



Example: COVID-19 patients treated with Paxlovid, Jan-Jul 2022 — Combine Race and Ethnicity

Race and Ethnicity separately

Race or Ethnicity	Percent treated		solute erence	Relative difference
White	13.5		Ref	Ref
Black	7.0		-6.5	-48.0
Asian	11.9		-1.6	-11.9
AI/AN/NH/OPI	7.9		-5.5	-41.1
Multiple/ other	8.5		-5.0	-37.3
NonHispanic	12.8	_	Ref	Ref
Hispanic	6.6		-6.2	-48.4

Race and Ethnicity combined

Race and Ethnicity	Percent treated	Absolute difference	Relative difference
NH, White	14.3	Ref	Ref
NH, Black	7.1	-7.2	-50.4
NH, Asian	12.0	-2.2	-15.8
NH, AI/AN/NH/OPI	8.0	-6.3	-43.9
NH, Multiple/ other	10.7	-3.6	-25.1
Hispanic	6.6	-7.7	-53.8

Abbreviation: NH, Non-Hispanic; AI/AN/NH/OPI, American Indian, Alaska Native, Native Hawaiian, Other Pacific Islander Source: Unpublished data from PCORnet. See Boehmer et al. Racial and ethnic disparities in outpatient treatment of COVID-19. MMWR 2022;71:1359-65.



"Utility"

Standards that are safe, contextually relevant, and interoperable should be

implemented.

HHS Approach to Addressing SDOH to Advance Health Equity





granular but rolls up to OMB

use out of the box.

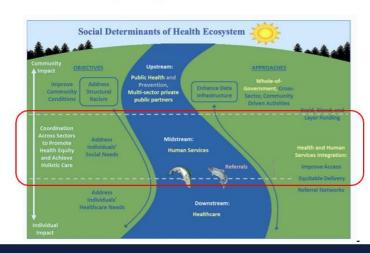
We currently employ the HHS Standard which is more

Categories	Notes		
a No, not of Hispanic, Latino/a, or Spanish origin			
b Yes, Mexican, Mexican American, Chicano/a			
c Yes, Puerto Rican	These categories roll-up to the Hispanic or Latino category of the OMB standard		
d Yes, Cuban			
e Yes, Another Hispanic, Latino/a or Spanish origin			

"Privacy"



HHS Codeset





United States Core Data for Interoperability

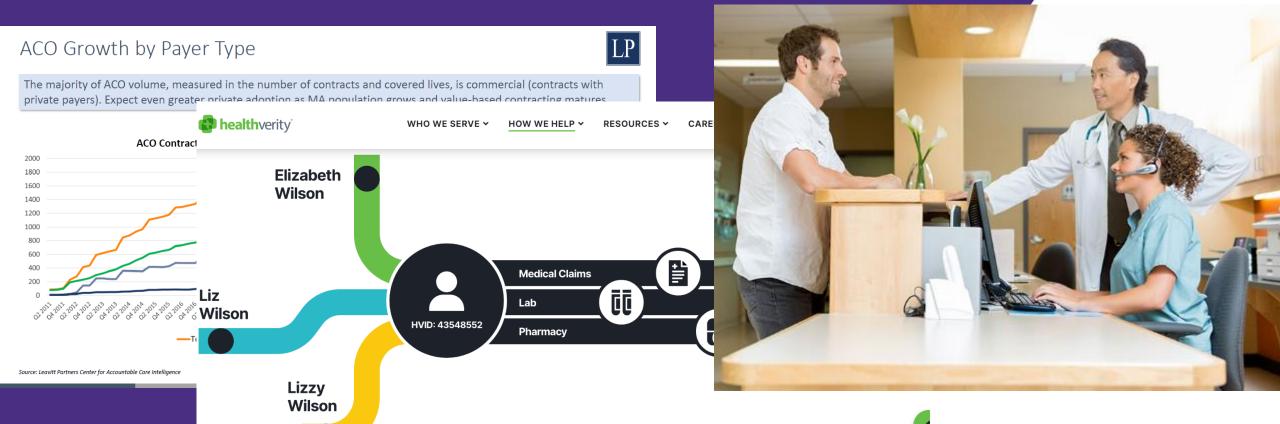
—— DRAFT VERSION 4 (JANUARY 2023) ——

Minority

Superminority

E

Education is needed to increase awareness of incentives and resources for infrastructure, technical resources, and best practices.









Understanding and alignment to address the dissonance between:

why R&E are collected, how R&E questions are answered, and how R&E data are used;

how communities vs. health systems define health equity

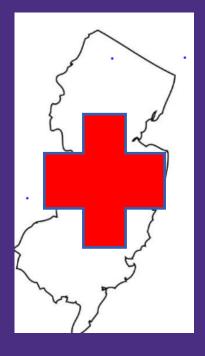




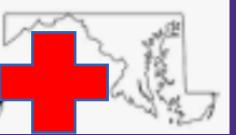


Payors can collect race & ethnicity data too.





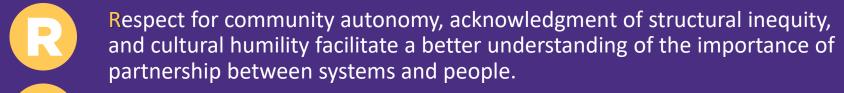












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Payors can collect race & ethnicity data too.









Thank you!



Dr. Rachele Hendricks- Sturrup



Louis Cabinilla, MSc



Dr. Elise Berliner



Sandy Leonard, MPH



Dr. Alecia Clary



Dr. Krystal Tsosie



Dr. Ali Mokdad



Dr. Francisco De La Vega



Dr. Phillip Febbo



Dr. Susan Zickmund



Dr. April Mohanty



Dr. Diana Naranjo



Dr. Tegan Boehmer



Dionna Andrean (Attinson)



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Dr. Warren Whyte



Sarah Green, MPH



Dr. Susan Jenkins



Ronnie Tepp



Dr. Marjorie Innocent



Dr. James Hildreth



Dr. Elizabeth Cohn



Aparna Sridhar

Dr. Leo Russo



Dr. Silas Buchanan



Carmela Couderc



Dr. Allen Hsiao



Andrew Kress



Meredith Welsh



Lt Col Rob Flemming



Yasmeen Long



Dr. Amy Abernathy



Claudia Withers. JD



Deanna Fulp



Dr. Gary Puckrein

Hemi Tewarson, JD

Nicole Evans, MPH





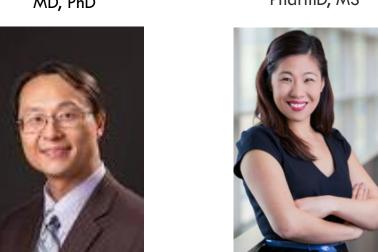




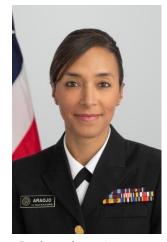
Many Thanks to our Expert Panel!



Amy P. Abernethy, MD, PhD



Allen Hsiao MD, FAAP, FAMIA



Richardae Araojo, PharmD, MS



Christine Lee, PharmD, PhD



Georges C. Benjamin, MD, MACP, FNAPA, FACEP (E), Hon FRSPH, Hon **FFPH**



Sandy Leonard, MPH



Phil Febbo, MD



Bradley Malin, PhD



James E.K. Hildreth, PhD, MD



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Alex Sullivan (Engagement) Emari Thompson (Operations)

Susan Winckler (CEO)

Evidence to Practice Alecia Clary (Co-I)

Carly Johnson (Research)

Jamiyla Bolton-Cubillan (Engagement)





Many thanks for your participation in our Workshop series! Our work is not done-- look for more to come!



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